## Logic and the Methodology of Science June 2003 Preliminary Exam

## August 23, 2005

1. Let  $\mathcal{L}$  be a finite first-order language whose formulae have been Godel numbered in some natural way. Let Sat be the set of all (Godel numbers of) satisfiable  $\mathcal{L}$ -formulae.

- (a) Show that Sat is  $\Pi_1^0$ .
- (b) Give an example of a finite  $\mathcal{L}$  for which Sat is not recursive. Outline a proof that your example works.

**2.** Recall that a sentence is *universal* if it is of the form  $(\forall x_1) \cdots (\forall x_n) \theta(\vec{x})$  where  $\theta$  is quantifier-free. We say that T is *universal* if there is a set U of universal  $\mathcal{L}$ -sentences for which  $T \vdash \forall U$ . Show that T is universal if and only if for any model  $\mathfrak{M} \models T$  and any substructure  $\mathfrak{N} \subseteq \mathfrak{M}$  one has  $\mathfrak{N} \models T$ .

**3.** Let  $\langle W_e \mid e < \omega \rangle$  be a standard enumeration of the recursively enumerable sets. Show that  $\{e \mid W_e \text{ is finite }\}$  is  $\Sigma_2^0$ -complete.

**4.** Let  $\mathcal{L}$  be a first-order language and  $\mathfrak{M}$  an  $\mathcal{L}$ -structure. Recall that a sequence  $\langle a_i \mid i \in \omega \rangle$  of elements of  $M = |\mathfrak{M}|$  is *indiscernible* for  $\mathfrak{M}$  if for any natural number n, any  $\mathcal{L}$ -formula  $\psi(x_1, \ldots, x_n)$ , and pair of increasing n-tuples  $i_1 < \cdots < i_n$  and  $j_1 < \cdots < j_n$  of natural numbers, we have  $\mathfrak{M} \models \psi[a_{i_1}, \ldots, a_{i_n}]$  iff  $\mathfrak{M} \models \psi[a_{j_1}, \ldots, a_{j_n}]$ . Show that if  $\mathfrak{M}$  is infinite, there is some elementary extension  $\mathfrak{N} \succeq \mathfrak{M}$  and an indiscernible sequence  $\langle a_i \mid i \in \omega \rangle$  for  $\mathfrak{N}$  with  $a_0 \neq a_1$ . Show by example that the elementary extension may be necessary.

- **5.** For  $A \subseteq \omega \times \omega$  let  $A_a = \{b \mid \langle a, b \rangle \in A\}$ .
  - (a) Let A be recursively enumerable (r.e.), and suppose  $n < \omega$  is such that  $A_a$  has size n for all a. Show that A is recursive.
  - (b) For each n > m, give an example of an r.e. set A such that for all a,  $A_a$  has size n or size m, but A is not recursive.
- **6.** Let  $\mathcal{L}$  be a first-order language and  $\mathfrak{M}$  an  $\mathcal{L}$ -structure.

- a Suppose that there are only finitely many orbits in  $M = |\mathfrak{M}|$  under the automorphism group of  $\mathfrak{M}$ . (Such an orbit is an equivalence class of the equivalence relation: xEy iff there is an automorphism  $\pi$  of  $\mathfrak{M}$  such that  $\pi(x) = y$ .) Show that there are finitely many formulas  $\psi_1(x), \ldots, \psi_n(x)$ in one free variable x such that for any  $\mathcal{L}$ -formula  $\vartheta(x)$  in one free variable there is some  $i \leq n$  with  $\mathfrak{M} \models (\forall x) \ \vartheta(x) \leftrightarrow \psi_i(x)$ .
- b Is the converse true? Prove or provide (with proof) a counter-example.
- c Assume that  $\mathcal{L}$  and  $\mathfrak{M}$  are both countable and for each natural number m there is a finite sequence  $\phi_1^m(x_1, \ldots, x_m), \ldots, \phi_{n_m}^m(x_1, \ldots, x_m)$  of formulas in m free variables such that for any other formula  $\theta(x_1, \ldots, x_m)$  there is some  $i \leq n_m$  with  $\mathfrak{M} \models (\forall x_1, \ldots, x_m) \ \theta(\vec{x}) \leftrightarrow \phi_i^m(\vec{x})$ .

Show that there are only finitely many orbits in M under the action of the automorphism group of  $\mathfrak{M}$ .

**7.** Let  $\mathcal{N} = (\omega, +, \cdot, S, <, 0)$  be the standard structure of arithmetic. Let  $\mathcal{N} \prec \mathcal{M}$ , and  $\mathcal{N} \neq \mathcal{M}$ . Let  $\mathcal{M}$  be the universe of  $\mathcal{M}$ . Suppose

$$(\forall x \in M) (\exists y \in \omega) (\forall z \in M) (\exists t \in \omega) \mathcal{M} \models \phi[x, y, z, t].$$

Show that for some  $m < \omega$ ,

$$(\forall x \in M((\exists y < m)(\forall z \in M)(\exists t < m)\mathcal{M} \models \phi[x, y, z, t].$$

8. Let  $\Phi = \{\phi_e \mid e \in \omega\}$  be the set of all partial recursive functions of one variable, equipped with some standard enumeration. Let  $F: \Psi \to \omega$ , where  $\Psi \subseteq \Phi$ , and let f be a partial recursive function such that

$$\operatorname{dom}(f) = \{ e \mid \phi_e \in \Psi \},\$$

and for all  $e \in \operatorname{dom}(f)$ ,

$$f(e) = F(\phi_e)$$

- (a) Show that if  $\Psi = \Phi$  (so that f is total), then F is a constant function.
- (b) (Harder.) Show that in any case, there is an r.e. collection  $\mathcal{H}$  of finite partial functions such that

$$\Psi = \{ \phi \in \Phi \mid \exists h \in \mathcal{H}(h \subseteq \phi) \}.$$

**9.** Recall that a formula  $\phi(x, y)$  in the language of PA *represents* a relation  $R \subseteq \omega \times \omega$  iff for all  $n, m \in \omega$ ,

$$R(n,m) \Rightarrow \mathsf{PA} \vdash \phi(\bar{n},\bar{m})$$

$$\neg R(n,m) \Rightarrow \mathsf{PA} \vdash \neg \phi(\bar{n},\bar{m}),$$

where  $\bar{k}$  is the numeral for k. Let  $\operatorname{Prov}(x, y)$  be a standard formula in the language of Peano Arithmetic (PA) representing the relation y is (the Godel number of) a proof of x from the axioms of PA. Similarly, let  $\operatorname{neg}(x, y)$  be a standard formula representing: x and y are sentences, and one is the negation of the other. Let  $\operatorname{Prov}^*(x, y)$  be the formula

$$\operatorname{Prov}(x, y) \land \forall z < y \forall w (\operatorname{neg}(x, w) \to \neg \operatorname{Prov}(w, z)).$$

- (a) Show that  $\operatorname{Prov}^*(x, y)$  represents over PA the same relation as does  $\operatorname{Prov}(x, y)$ .
- (b) Let Con<sup>\*</sup> be the sentence

 $\forall x \forall w \forall y \forall z [((\operatorname{Prov}^*(x, y) \land \operatorname{Prov}^*(w, z)) \to \neg \operatorname{neg}(x, w)].$ 

Show that PA proves Con<sup>\*</sup>.

(c) Explain where Godel's proof of the second incompleteness theorem breaks down, when applied to  $\operatorname{Con}^*$ .

and